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OFFICE OF WEST VIRGINIA SECRETARY OF STATE

# **WEST VIRGINIA LEGISLATURE**

**REGULAR SESSION, 1992** 

ENROLLED

Committee Substitute for SENATE BILL NO. 526

(By Senator Jundette, M. Mesident)

PASSED <u>///anck le,</u> 1992 In Effect <u>// alupfrom</u> Passage

#### ENROLLED

#### COMMITTEE SUBSTITUTE

FOR

# Senate Bill No. 526

(Senator Burdette, Mr. President, original sponsor)

[Passed March 6, 1992; in effect ninety days from passage.]

AN ACT to amend and reenact article thirteen, chapter thirty of the code of West Virginia, one thousand nine hundred thirty-one, as amended, relating to engineering; establishing an engineering board of registration; providing for legislative findings; defining certain terms; providing for registration of professional engineers; providing for the powers, duties and responsibilities of the board; allowing the board to levy fines; promulgate rules; develop certain ethical standards; and providing for certain criminal penalties.

Be it enacted by the Legislature of West Virginia:

That article thirteen, chapter thirty of the code of West Virginia, one thousand nine hundred thirty-one, as amended, be amended and reenacted to read as follows:

#### ARTICLE 13. ENGINEERS.

# §30-13-1. Legislative findings; intent.

- 1 The Legislature hereby determines the need to
- 2 regulate the practice of engineering; to provide for the
- 3 registration of qualified persons as professional engi-

- 4 neers and the certification of engineer interns; define
- 5 the terms "engineer", "professional engineer",
- 6 "engineer-intern" and "practice of engineering"; to
- 7 create a state board of registration for professional
- 8 engineers and provide for the appointment and com-
- pensation of its members; to fix the term of members
- 10 of the board and define its powers and duties; to set
- 11 forth the minimum qualifications and other require-
- 12 ments for registration as an engineer and certification
- 13 as an engineer intern; to establish registration fees
- 14 with expiration and renewal requirements; to impose
- 15 certain duties upon the state and political subdivisions
- 16 thereof in connection with public works; and to
- 17 provide for the enforcement of this article and penal-
- 18 ties for its violation.

# §30-13-2. General provisions.

- In order to safeguard life, health and property and
- to promote the public welfare, the practice of engi-
- 3 neering in this state is hereby declared to be subject
- 4 to regulation in the public interest. It is unlawful for
- 5 any person to practice or to offer to practice engineer-
- 6 ing in this state, as defined in the provisions of this
- article, or to use in connection with his or her name
- 8 or otherwise assume or advertise any title or descrip-
- 9 tion tending to convey the impression that he or she
- 10 is a registered or licensed engineer, unless the person
- 11 has been duly registered or exempted under the
- 12 provisions of this article. Engineering is hereby
- 13 declared a learned profession and its practitioners are
- 14 held accountable to the state and the public by
- 15 professional standards in keeping with the ethics and
- 16 practice of other learned professions in this state. The
- 17 practice of engineering is a privilege granted by the
- 18 state.

#### §30-13-3. **Definitions**.

- Unless the context in which used clearly requires a
- 2 different meaning as used in this article:
- (a) "Board" means the West Virginia state board of
- 4 registration for professional engineers as provided for
- 5 in this article.

- (b) "Consulting engineer" means a professional 6 7 engineer whose principal occupation is the indepen-8 dent practice of engineering; whose livelihood is 9 obtained by offering engineering services to the public; 10 who serves clients as an independent fiduciary; who is 11 devoid of public, commercial and product affiliation 12 that might tend to infer a conflict of interest; and who 13 is cognizant of their public and legal responsibilities 14 and is capable of discharging them.
- 15 (c) "Engineer" means a person who is qualified to 16 practice engineering by reason of special knowledge and use of the mathematical, physical and engineering 18 sciences and the principles and methods of engineer-19 ing analysis and design, acquired by engineering education and engineering experience.
- (d) "Engineer intern" means a person who has 22 qualified for, taken and has passed an examination in the fundamental engineering subjects, as provided in this article.

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25 (e) "Practice of engineering" means any service or 26 creative work, the adequate performance of which requires engineering education, training and experi-28 ence in the application of special knowledge of the mathematical, physical and engineering sciences to 30 such services or creative work as consultation, inves-31 tigation, evaluation, planning and design of engineer-32 ing works and systems; planning the use of land and 33 water; teaching of advanced engineering subjects, 34 engineering surveys and studies; and the review of 35 construction for the purpose of assuring compliance 36 with drawings and specifications any of which em-37 braces such services or work, either public or private, 38 in connection with any utilities, structures, buildings, machines, equipment, processes, work systems, pro-40 jects and industrial or consumer products or equip-41 ment of a mechanical, electrical, hydraulic, pneumatic 42 or thermal nature, insofar as they involve safeguard-43 ing life, health or property, and including such other 44 professional services as may be necessary to the 45 planning, progress and completion of any engineering 46 services. Engineering surveys include all survey 47 activities required to support the sound conception, 48 planning, design, construction, maintenance and 49 operation of engineered projects.

Any person who practices any branch of the profession of engineering or who, by verbal claim, sign, advertisement, letterhead, card or in any other way represents himself or herself to be a professional engineer, or by using another title implies that he or she is a professional engineer or that he or she is registered under this article or who holds himself or herself out as able to perform, or who performs any engineering service or work or any other service designated by the practitioner which is recognized as engineering, is considered to practice or offer to practice engineering within the meaning and intent of this article.

- (f) "Professional engineer" means a person who has been duly registered or licensed as a professional engineer by the board. The board may designate a professional engineer, on the basis of education, experience and examination, as being licensed in a specific discipline or branch of engineering signifying the area in which the engineer has demonstrated competence.
- 71 (g) "Responsible charge" means direct control and 72 personal supervision of engineering work.
- 73 (h) "Rules of professional responsibility for profes-74 sional engineers" means those rules, if any, promul-75 gated by the West Virginia state board of registration 76 for professional engineers as authorized by this article.

# §30-13-4. Board of registration for professional engineers; appointment and term.

- There is hereby created the board of registration for professional engineers which shall hereafter be referred to as the board. The board shall be composed of five members appointed by the governor, by and with the consent of the Senate, preferably from a list
- 6 of names submitted by the West Virginia society of 7 professional engineers. The members of the board

8 shall be qualified and shall meet the requirements of 9 section five of this article. The governor shall present 10 each board member with a certificate of appointment. 11 Each board member shall make and file an oath or 12 affirmation with the secretary of state to faithfully 13 execute the duties of a member of the board. Members 14 of the board shall serve a term of five years. Of the 15 members first appointed, one shall serve a term of one 16 year, one shall serve a term of two years, one shall 17 serve a term of three years, one shall serve a term of 18 four years and one shall serve a term of five years. 19 Members are eligible for reappointment but no mem-20 ber may be appointed for more than three full consec-21 utive terms. Each member shall hold office until the 22 expiration of the term for which appointed or until a 23 successor has been duly appointed and has qualified. 24 In the event of a vacancy on the board due to resignation, death or for any cause resulting in an unex-26 pired term and if not filled within three months by 27 the governor, the board may appoint a member to

# §30-13-5. Board qualifications.

32 unexpired term of that member.

Each member of the board must be a citizen of the

28 serve in the vacancy until the governor makes the 29 appointment. Members of the former board whose 30 terms have not expired shall fill the term on this 31 board which corresponds with the length of the

- 2 United States and a resident of this state. Each
- 3 member shall have been engaged in the lawful prac-
- 4 tice of engineering as a professional engineer for at
- 5 least twelve years; shall have been in responsible
- 6 charge of engineering projects for at least five years;
- 7 and shall be a registered professional engineer in this
- 8 state.

#### §30-13-6. Compensation and expenses.

- 1 Members of the board shall be compensated not to
- 2 exceed fifty dollars per diem for time spent in actually
- 3 performing the duties of the board and shall be
- 4 reimbursed for reasonable and necessary expenses
- 5 actually incurred in the performance of board related

6 duties.

#### §30-13-7. Removal of members and vacancies.

- 1 The governor may remove any member of the board
- 2 for misconduct, incompetency, neglect of duty or for
- 3 any reason prescribed by law for removal of state
- 4 officials. Vacancies in the membership of the board
- 5 shall be filled for the unexpired term.

#### §30-13-8. Organization and meetings.

- 1 The board shall hold at least one regular meeting
- 2 each year. Special meetings may be held as the bylaws
- 3 of the board provide. Each year the board shall elect
- 4 the following officers: A president, a vice president
- 5 and a secretary, who shall serve at the will and
- 6 pleasure of the board. A quorum of the board shall
- 7 consist of not less than three professional engineer
- 8 members.

#### §30-13-9. Board powers.

- 1 (a) The board may adopt and amend bylaws not
- 2 inconsistent with the constitution and laws of this
- 3 state. The board may promulgate and shall adopt
- 4 "rules of professional responsibility for professional
- 5 engineers". These rules are binding to any person
- 6 registered with the board under the provisions of this
- 7 article. These rules are also applicable to firms holding
- 8 a certificate of authorization as provided in section
- 9 seventeen of this article.
- 10 (b) The board may subpoena witnesses and compel
- 11 their attendance and it may also subpoena books,
- 12 papers, documents or other pertinent data in any
- 13 disciplinary matters or in any case involving an
- 14 allegation of a violation of the provisions of this article.

  15 The board may apply to the circuit court of Kanawha
- 15 The board may apply to the circuit court of Kanawha
- 16 county to enforce compliance with any subpoena it 17 issues.
- 18 (c) The board may seek an injunction in circuit
- 19 court to enforce the provisions of this article or to
- 20 restrain a person or entity from violating a provision
- 21 of this article. In pursuing injunctive relief under this

- section, the board shall not be required to prove that an adequate remedy at law does not exist or that substantial or irreparable damage would result from the continued violation. The members of the board may not be personally liable for any decisions made in good faith in pursuing injunctive relief.
- 28 (d) The board may require all applicants for regis-29 tration to take and successfully pass an examination of 30 their fitness and qualifications to become registered.
- 31 (e) The board may require that a registered profes-32 sional engineer demonstrate continuing professional 33 competency in engineering as a condition of renewal 34 or reregistration.
- 35 (f) Board members are exempt from civil liability 36 for any decision made or any act done in good faith in 37 the performance of any duty or the exercise of any 38 power granted under this article.

# §30-13-10. Receipt of fees, fund established, disbursements.

1 There is hereby established within the state treasury 2 a "board of professional engineers fund". The board 3 shall deposit all fees and other moneys received by the 4 board into the fund. The moneys in the fund shall be 5 used for expenses of the board and shall be requisi-6 tioned on the signatures of the president and secretary 7 of the board. The secretary of the board shall annually 8 furnish an accounting of all funds received and 9 expended by the board to the governor and to each 10 house of the Legislature. The board may use the 11 moneys in the fund to employ necessary staff, pay for 12 membership fees to the national council of examiners 13 for engineering and surveying and for any other 14 necessary and reasonable expense of the board: Pro-15 vided. That the board may not issue warrants in 16 excess of moneys in the fund.

# §30-13-11. Records and reports.

1 (a) The board shall keep a record of its proceedings 2 and of all applications for registration. The record shall 3 show: (1) The name, age and last known address of 4 each applicant; (2) the date of application; (3) place of

- 5 business of such applicant; (4) education, experience
- 6 and other qualifications; (5) type of examination
- 7 required; (6) whether or not the applicant was
- 8 rejected; (7) whether or not a certificate of registration
- 9 was granted; (8) the date of the action by the board;
- 10 and (9) such information as may be deemed necessary
- 11 by the board.
- 12 (b) The record of the board is prima facie evidence
- 13 of the proceedings of the board and a transcript duly
- 14 certified by the secretary, shall be admissible as
- 15 evidence with the same force and effect as if the
- 16 original were produced.
- 17 (c) On the first day of July of each year, the board
- 18 shall submit to the governor a report of its transac-
- 19 tions of the preceding year and shall transmit to the
- 20 governor a complete statement of the receipts and
- 21 expenditures of the board, attested to by affidavits of
- 22 its chairman and secretary.
- 23 (d) Board records and papers of the following class
- 24 are of a confidential nature and are not public records:
- 25 Examination material for examinations not yet given,
- 26 file records of examination problem solutions, letters
- 27 of inquiry and reference concerning applicants, board
- 28 inquiry forms concerning applicants, investigation files
- 29 where any investigation is still pending and all other
- 30 materials of like confidential nature.

# §30-13-12. Roster.

- 1 A complete roster with the names and the last
- 2 known addresses of all registered professional engi-
- 3 neers shall be published by the secretary of the board
- 4 at intervals established by the board. Copies of this
- 5 roster may be mailed to each person registered and
- 6 shall be placed on file with the secretary of state and
- 7 may be distributed or sold to county and city officials
- 8 and to the public.

#### §30-13-13. General requirements for registration.

- 1 To be eligible for admission to examination for
- 2 professional engineer or engineer-intern, an applicant
- 3 must be of good character and reputation and he or

- 4 she shall submit five references along with the appli-5 cation for registration as a professional engineer. 6 Three references shall be from professional engineers 7 having personal knowledge of the engineering experi-8 ence of the applicant or in the case of an application 9 for certification as an engineer-intern. The references 10 shall be from three persons knowledgeable of the 11 applicants' character.
- 12 (a) The minimum requirements for qualification for 13 registration as a professional engineer are:
- 14 (1) Registration by comity or endorsement. — A 15 person holding a certificate of registration to engage in 16 the practice of engineering, issued by a proper author-17 ity of a state or possession of the United States, the 18 District of Columbia or any foreign country, based on 19 requirements that do not conflict with the provisions 20 of this article and were of a standard not lower than 21 that specified in the applicable registration article in 22 effect in this state at the time such certificate was 23 issued, may, upon application, be registered without 24 further examination.
- A person holding an active council record with the 26 national council of examiners for engineering and 27 surveying whose qualifications as evidenced by the council record, meet the requirements of this article may, upon application, be registered without further examination:

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31 (2) Graduation, experience and examination. — A graduate of an engineering curriculum of four years 33 or more approved by the board as being of satisfactory 34 standing and with a specific record of an additional 35 four years or more of progressive experience on 36 engineering projects of a grade and a character which 37 indicates to the board that the applicant may be competent to practice engineering, shall be admitted to 39 an eight-hour written examination in the fundamen-40 tals of engineering and, if passed, then shall be 41 admitted to an eight-hour written examination in the principles and practice of engineering. Upon passing 43 such examinations, the applicant shall be granted a

- 44 certificate of registration to practice engineering in
- 45 West Virginia, provided the applicant is otherwise
- 46 qualified; and
- 47 (3) Engineering teaching. Engineering teaching of
- 48 advanced subjects and the design of engineering
- 49 research and projects in a college or university
- 50 offering an approved engineering curriculum of four
- 51 years or more may be considered as engineering
- 52 experience.
- 53 (b) The minimum requirements for registration as
- 54 an engineer-intern are:
- 55 (1) Graduation from an engineering curriculum of
- 56 four years or more approved by the board as being of
- 57 satisfactory standing; and
- 58 (2) Satisfactorily passing a written exam, eight hours
- 59 in duration, covering the fundamentals of engineering.

#### §30-13-14. Application and registration fee.

- 1 Every person seeking to become registered as a
- 2 professional engineer or seeking to become certified as
- 3 an engineer-intern shall file an application on forms
- 4 provided by the board. The application shall be made
- 5 under oath and shall include:
- 6 (a) The level of education of the applicant;
- 7 (b) A summary of the applicants' technical experi-
- 8 ence: and
- 9 (c) The names and complete mailing addresses of
- 10 any references.
- 11 Applicants may not use the names of board
- 12 members as references.
- 13 In lieu of information required on the board's
- 14 application forms, the board may accept the verified
- 15 information contained in a valid council record issued
- 16 by the national council of examiners for engineering
- 17 and surveying for professional engineer applicants.
- 18 The board shall set fees for all applicants for regis-
- 19 tration and certification by promulgating legislative

- 20 rules under the provisions of article three, chapter
- 21 twenty-nine-a of this code.
- 22 Fees of unsuccessful applicants and the fees of
- 23 applicants who have been refused registration or
- 24 certification for any purpose shall be retained by the
- 25 board to cover administrative costs.

## §30-13-15. Examinations.

- 1 (a) The board shall establish examination criteria 2 including the acceptable passing grade.
- 3 (b) Written examinations shall be given in two 4 sections and may be taken only after the applicant has
- 5 met the other minimum requirements and has been
- 6 approved by the board for admission to the examina-
- 7 tions which are as follows:
- 8 (1) Engineering fundamentals. The examination
- 9 consists of an eight-hour test period on the fundamen-
- 10 tals of engineering. Passing this examination qualifies 11 the examinee for an engineer-intern certificate,
- 12 provided the examinee has met all other requirements
- 13 for certification required by this article; and
- 14 (2) Principles and practice of engineering. The
- 15 examination consists of an eight-hour test period on
- 16 applied engineering. Passing this examination qualifies 17 the examinee for registration as a professional engi-
- 18 neer, provided the examinee has met the other
- 19 requirements.
- 20 (c) A candidate failing one examination may apply
- 21 for reexamination, which may be granted upon pay-
- 22 ment of a fee established by the board. In the event of 23 a second failure, the examinee may be required to
- 24 appear before the board to present evidence of having
- 25 pursued further instruction in deficit areas.
- 26 (d) The board shall publish in brochure form and
- 27 shall make available to any person interested in being
- 28 registered, the requirements and specifications of the 29 written examination.

#### §30-13-16. Certificates and seals.

1 (a) The board shall issue a certificate of registration

2 to any applicant who, in the opinion of the board, has 3 met the requirements of this article. The certificate of 4 registration shall carry the designation "professional 5 engineer". It shall give the full name of the registrant 6 with their registration number and shall be signed by 7 the chairman and the secretary under the seal of the 8 board. The certificate of registration grants the recip-9 ient authority to practice in this state.

- 10 (b) An unexpired and unrevoked certificate is prima 11 facie evidence that the person named on it is entitled to all rights, privileges and responsibilities of a professional engineer.
- 14 (c) Every registrant shall obtain a seal for use in 15 identifying his or her official professional work. The 16 design of the seal shall be determined by the board 17 and shall bear the registrant's name, registrant's 18 registration number, the legend "registered profes-19 sional engineer, state of West Virginia" and such other 20 words or figures as the board may prescribe. The seal 21 may be a rubber stamp. Whenever the seal is applied, 22 the registrant's written signature shall be adjacent to 23 or across the seal. No further words or wording are 24 required. A facsimile signature is not acceptable. 25 Whenever presented to a client or any public or 26 governmental agency, the seal, signature and date 27 shall be placed on all specifications, reports, drawings, 28 plans, design information and calculations in accor-29 dance with rules promulgated by the board. The seal 30 and signature shall be used by registrants only when 31 the work being stamped was under the registrant's complete direction and control.

33 In the case of a registrant of another state using a 34 temporary permit issued by this state, the registrant 35 shall use the state of permanent registration seal and 36 shall affix his or her signature and temporary permit 37 number to all work. In the case of a registrant 38 checking the work of an out-of-state registrant, the state registrant shall completely check and have 40 complete dominion and control of the design. The complete dominion and control includes possession of 41 42 the sealed and signed reproducible construction draw45 (d) The board shall issue to any applicant who, in 46 the opinion of the board, has met the requirements of this article, an enrollment card as engineer-intern, 48 which indicates that his or her name has been 49 recorded in the board office. The engineer-intern 50 enrollment card does not authorize the holder to 51 practice as a professional engineer. It is unlawful for 52 a registrant to affix or to permit his or her seal and 53 signature to be affixed to any document after the 54 expiration of his or her certificate or for the purpose of aiding or abetting any other person to evade or attempt to evade any provisions of this article.

#### §30-13-17. Authorization certificates.

- 1 (a) The practice of or offer to practice engineering 2 by consulting engineers registered under this article, 3 through a firm, corporation, copartnership, joint stock 4 association or private practitioner employing others, 5 referred to hereinafter as a firm, is permitted: *Pro-*6 *vided*, That the person in direct control or having 7 personal supervision of the practice and all personnel 8 who act in behalf of the firm in professional matters 9 are registered under this article: *Provided*, *however*, 10 That the firm has been issued a certificate of authoritation by the board.
- 12 (b) A firm desiring a certificate of authorization 13 must file with the board an application using a form 14 provided by the board and the firm shall provide all 15 the information required by the board. A form as 16 provided by the board is to be filed with the board 17 with the renewal fee and within thirty days of the 18 time any information contained on the form is 19 changed or differs for any reason. If the information 20 contained on the form warrants action, the board, in 21 its judgment, may issue a certificate of authorization 22 for the firm to practice engineering and to contract and collect fees for furnishing this service.
- 24 (c) No firm shall be relieved of responsibility for the 25 conduct or acts of its agents, employees, officers or

- 26 partners by reason of its compliance with the provi-
- 27 sions of this article. No individual practicing engineer-
- 28 ing under the provisions of this article shall be
- 29 relieved of responsibility for engineering services
- 30 performed by reason of his or her employment or
- 31 other relationship with a firm holding an authoriza-
- 32 tion certificate.
- 33 (d) An engineer who renders occasional, part-time 34 or consulting engineering services to or for a firm may
- 35 not, for the purposes of this article, be designated as
- 36 being responsible for the professional activities of the
- 37 firm unless that engineer is an owner or principal of
- 38 the firm.
- 39 (e) Effective one year from the effective date of this
- 40 article, the secretary of state shall stop issuing a
- 41 certificate of incorporation to an applicant or a regis-
- 42 trant as a foreign firm to a firm which includes,
- 43 among the objectives for which it is established, the
- 44 words engineer, engineering or any modification or
- 45 derivation thereof unless the board of registration for
- 46 this profession has issued to the applicant or registrant
- 47 a certificate of authorization or a letter indicating
- 48 eligibility to receive the certificate. The certificate or
- 49 letter from the board shall be filed with the firms'
- 50 application for incorporation or registration.
- 51 (f) Effective one year after the effective date of this
- 52 article, the secretary of state shall decline to register 53 any trade name or service mark which includes the
- 54 words engineer, engineering or modifications or
- 55 derivatives thereof in its firm name or logotype except
- 56 those firms holding authorization certificates issued
- 57 under the provisions of this article.
- 58 (g) The certificate of authorization may be renewed.

# §30-13-18. Expirations and renewals.

- Certificates of registration and certificates of autho-
- 2 rization for firms expire on the last day of the month
- 3 of June following issuance and are invalid after that 4 date unless renewed. The secretary of the board shall
- 5 notify every person registered and every firm holding

- 6 a certification of authorization under this article of the
- pending expiration of a certificate of registration or
- 8 certificate of authorization issued to that person or
- 9 firm including notice of the fee required to renew the
- 10 registration or certificate. The notice shall be mailed to
- 11 the registrant or firm at their last known address at
- 12 least one month in advance of the date of the expira-
- 13 tion. An expired certificate may be renewed under
- 14 rules promulgated by the board and may require
- 15 reexamination and the payment of penalty fees.

# §30-13-19. Reissuance of certificates.

- A new certificate of registration or certificate of
- 2 authorization to replace any certificate lost, destroyed
- or mutilated may be issued subject to the rules of the
- board. A charge established by rule shall be made for
- 5 each new certificate.

#### §30-13-20. Public works.

- Government agencies, authorities, officials and
- employees may not engage in the practice of engineer-
- 3 ing involving either public or private property unless
- 4 the provisions of this article are met.

# §30-13-21. Disciplinary action-revocation, suspension, refusal to issue, restore or renew, probation, fine, reprimand.

- 1 (a) The board may suspend or revoke or refuse to
- 2 issue, restore or renew a certificate of registration of,
- 3 or place on probation, fine or reprimand any profes-
- sional engineer who has:
- 5 (1) Perpetrated any fraud or deceit in obtaining or
- 6 attempting to obtain or renew a certificate of registra-
- tion or certificate of authorization:
- 8 (2) Been negligent, incompetent or committed an act
- of misconduct in the practice of engineering;
- 10 (3) Been convicted of or has entered a plea of nolo
- 11 contendere to any crime under the laws of the United
- 12 States or any state or territory thereof, which is a 13 felony whether related to practice or not; and convic-
- 14 tion of or entry of a plea of nolo contendere to any

- 15 crime, whether a felony, misdemeanor or otherwise,
- 16 an essential element of which is dishonesty, or which
- 17 is directly related to the practice of engineering;
- 18 (4) Failed to comply with any of the provisions of 19 this article or any of the rules promulgated under it;
- 20 (5) Been disciplined by another state, territory, the
- 21 District of Columbia, foreign country, the United
- 22 States government or any other governmental agency,
- 23 if at least one of the grounds for discipline is the same
- 24 or substantially equivalent to those grounds for
- 25 discipline contained in this article;
- 26 (6) Failed within thirty days to provide information 27 requested by the board as a result of a formal or
- 28 informal complaint to the board which would indicate
- 29 a violation of this article:
- 30 (7) Knowingly made false statements or signed false statements, certificates or affidavits to induce payment;
- 32 (8) Aided or assisted another person in violating any
- 32 (8) Aided or assisted another person in violating any provision of this article or the rules promulgated;
- 34 (9) Violated any terms of probation imposed by the
- 35 board or using a seal or practicing engineering while
- 36 the professional engineer's license is suspended,
- 37 revoked, nonrenewed or inactive;
- 38 (10) Signed or affixed the professional engineer's
- 39 seal or permitted the professional engineer's seal or 40 signature to be affixed to any specifications, reports,
- 41 drawings, plans, design information, construction
- 42 documents or calculations or revisions which have not
- 43 been prepared or completely checked by the profes-
- 43 been prepared or completely checked by the profes-44 sional engineer or under the professional engineer's
- 45 direct supervision or control;
- 46 (11) Engaged in dishonorable, unethical or unprofes-
- 47 sional conduct of a character likely to deceive, defraud
- 48 or harm the public;
- 49 (12) Provided false testimony or information to the 50 board; and
- 51 (13) Been habitually intoxicated or addicted to or by

- 52 the use of drugs or alcohol.
- 53 In addition to any other penalty provided in this
- 34 article, any person who violates any provision of this
- 55 article or any rule promulgated by the board may be
- 56 fined by the board for each offense in an amount
- 57 determined by the board.
- 58 (b) The board shall prepare and shall adopt "rules of professional responsibility for professional engineers".
- 60 The board may revise and amend these "rules of
- 61 professional responsibility for professional engineers"
- 62 from time to time and shall notify each registrant in
- 63 writing of any revisions or amendments.
- 64 (c) The board may:
- 65 (1) Revoke a certificate of authorization;
- 66 (2) Suspend a certificate of authorization of any firm
- 67 for a period of time not exceeding two years where
- 68 one or more of its officers or directors of the firm have
- 69 been found guilty of any conduct which would autho-
- 70 rize a revocation or suspension of his or her certificate
- 71 of registration under the provisions of this article;
- 72 (3) Place the person or firm on probation for a
- 73 period of time and make the person or firm subject to
- 74 conditions as the board may specify;
- 75 (4) Levy a fine for each count or separate offense in
- 76 an amount set by the board.

# §30-13-22. Disciplinary action—procedures.

- 1 (a) Any person may file a complaint with the board
- 2 that a person or firm subject to the provisions of this
- 3 article has committed a fraud, been deceitful, been
- 4 grossly negligent, incompetent, guilty of misconduct or
- 5 has violated the "rules of professional responsibility
- 6 for professional engineers".
- 7 (b) All complaints unless dismissed by the board as
- 8 unfounded, trivial or unless settled informally, shall be
- 9 heard by the board within six months after the date
- 10 each complaint was received by the board.
- 11 (c) The board shall fix the time and place for

- 12 hearings on complaints and a copy of all charges, 13 together with a notice of the time and place of hearing 14 on the complaint the person or firm complained 15 against or mailed to the last known address of the 16 person or firm holding a certificate of authorization at 17 least thirty days prior to the hearing. At the hearing, 18 the individual registrant or firm holding a certificate 19 of authorization shall have the right to appear in 20 person or by counsel, or both, to cross-examine 21 witnesses and to produce evidence and witnesses in 22 his, her or its defense. If the accused person or 23 corporation fails or refuses to appear, the board may 24 proceed to hear the complaint and determine the 25 validity of the charges.
- 26 (d) If after the hearing a majority of the board votes 27 in favor of sustaining the charges, the board shall 28 reprimand or fine the person or firm complained 29 against. The board may also suspend, revoke, refuse to 30 issue or refuse to restore or renew an individual's 31 certificate of registration or a firm's certificate of 32 authorization. In addition, the board may place a 33 registrant on probation.
- (e) An individual registrant, having a certificate of registration, or a firm, having a certificate of authorization, aggrieved by any action of the board in levying a fine, denying, suspending, refusing to issue, refusing to restore or renew or revoking a certificate of registration or a certificate of authorization, may appeal the boards' decision to the circuit court.
- 41 (f) Any penalty assessed as a result of a hearing shall 42 be paid within fifty days after the decision becomes 43 final.
- 44 (g) The board may, upon petition of an individual 45 registrant or firm holding a certificate of authoriza-46 tion, reissue a certificate of registration or authoriza-47 tion, provided that a majority of the members of the 48 board votes in favor of such issuance.

# §30-13-23. Criminal offenses.

1 Any person who practices or offers to practice

2 engineering in this state without being registered in 3 accordance with the provisions of this article, or any 4 person, firm, partnership, organization, association, corporation or other entity using or employing the 6 words engineer, engineering or any modification or derivative thereof in its name or form of business activity except as authorized in this article, or any 9 person presenting or attempting to use the certificate 10 of registration or the seal of another, or any person 11 who gives any false or forged evidence of any kind to 12 the board or to any member thereof in obtaining or 13 attempting to obtain a certificate of registration, or 14 any person who falsely impersonates any other regis-15 trant of like or different name, or any person who 16 attempts to use an expired, suspended or revoked or 17 nonexistent certificate of registration, or who practices 18 or offers to practice when not qualified, or any person 19 who falsely claims that he or she is registered or 20 authorized under this article, or any person who 21 violates any of the provisions of this article is guilty of 22 a misdemeanor, and, upon conviction thereof, shall be 23 fined not more than five hundred dollars, or impris-24 oned in the county jail not more than six months, or 25 both fined and imprisoned.

Any person who commits a second or subsequent offense under this section is guilty of a felony, and, upon conviction thereof, shall be imprisoned in the penitentiary not less than ten nor more than twenty years.

The attorney general may act as legal adviser to the board and render any legal assistance as may be necessary in carrying out the provisions of this article. The board may employ counsel and necessary assistance to aid in the enforcement of this article and the compensation and expenses of the council shall be paid from the funds of the board.

# §30-13-24. Exemptions.

- 1 This article may not be construed to prevent the 2 practice by:
- 3 (a) Other professions. The practice of any other

#### 4 legally recognized profession;

- 5 (b) Temporary permits. The practice or offer to 6 practice engineering by a person not a resident of or 7 having no established place of business in this state, 8 provided the person is legally qualified by registration 9 to practice engineering, as defined in this article, in 10 their own state or country. The person shall make 11 application to the board in writing and after payment 12 of a fee established by the board may be granted a 13 written permit for a definite period of time not to 14 exceed one year to do a specific job: Provided, That no 15 right to practice engineering shall accrue to the 16 applicant with respect to any other works not set forth 17 in the permit; and
- 18 (c) Employees and subordinates. — The work of an employee or a subordinate of a person holding a 20 certificate of registration under this article, or an employee of a person practicing lawfully: *Provided*, 22 That the work does not include final engineering 23 designs or decisions and is done under the direct 24 supervision of and verified by a person holding a certificate of registration under this article or a person 26 practicing lawfully. Any regular full-time employee of 27 a person, partnership, corporation or other business 28 entity who is engaged solely and exclusively in per-29 forming services for such person, partnership, corpora-30 tion or other business entity, who is not required by 31 any provision of the law other than this article to be 32 a registered professional engineer and whose services 33 are performed on, or in connection with, property 34 owned or leased by such person, partnership, corpora-35 tion or other business entity, or in which such person, partnership, corporation or other business entity has 36 37 an interest, estate or possessory right, and are not 38 offered or made available to the public. This exemp-39 tion includes the use of job title and personal classifi-40 cation by such person, but no name, title or words 41 may be used which tend to convey the impression that 42 an unlicensed person is offering professional engineer-43 ing services to the public.

The Joint Committee on Enrolled Bills hereby certifies that the foregoing bill is correctly enrolled.
flomer bleck
Chairman Senate Committee
Chairman House Committee
Originated in the Senate.
In effect ninety days from passage.
Clerk of the Senate
Donald L. Coff Clerk of the House of Delegates
President of the Senate
Speaker House of Delegates
The within to approved this the 315
day of March 1992.

PRESENTED TO THE

GOVERNO

Date 3/25/93

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